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# Quantitative estimates for interpolated operators by multidimensional methods.

Fernando COBOS\*, José María CORDEIRO and Antón MARTÍNEZ\*

#### Abstract

We describe the behaviour of ideal variations under interpolation methods associated to polygons.

#### 0 Introduction

The behaviour of weakly compact operators under interpolation methods for N-tuples defined by means of polygons has been considered by Cobos, Fernández-Martínez and Martínez [5] and by Carro and Nikolova [4]. Among other things, they showed that the interpolated operator acting between two K-spaces or two J-spaces is weakly compact provided that all but two restrictions of T (located in adjacent vertices of the polygon) are weakly compact. Moreover, a similar result holds for other operator ideals sharing certain properties with weakly compact operators (see [5], Remark 2.9).

In this paper we investigate how far the interpolated operator can be from being weakly compact. In a more general way, we estimate the distance of the interpolated operator to a given operator ideal. In the case of the classical real method for Banach couples, this question has been recently studied by Cobos, Manzano and Martínez [9] and Cobos

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and Martínez [10], [11], where they have established estimates for the measures  $\gamma_{\mathcal{I}}$ ,  $\beta_{\mathcal{I}}$  related to a given operator ideal  $\mathcal{I}$ . We consider here similar questions in the multidimensional context of interpolation spaces associated to polygons. Our techniques use some ideas introduced in [9] combined with the geometrical elements which are natural to the interpolation methods that we deal with.

We start by reviewing in Section 1 some basic facts on ideal variations and on J- and K-methods associated to polygons. Then, in Section 2, we establish estimates for  $\gamma_{\mathcal{I}}$  and  $\beta_{\mathcal{I}}$  when one of the N-tuples of Banach spaces degenerates into a single space. Finally, in Section 3, we deal with the case of general N-tuples assuming that the operator ideal  $\mathcal{I}$  satisfies the  $\Sigma_q$ -condition (see [14]).

### 1 Preliminaries

Let A and B be Banach spaces. By  $\mathcal{L}(A,B)$  we denote the collection of all bounded linear operators from A into B, endowed with the usual operator norm. The closed unit ball of A is designated by  $U_A$ , and  $A^*$  stands for the dual of A. We put  $\ell_1(U_A)$  for the Banach space of all absolutely summable families of scalars  $(\lambda_a)_{a\in U_A}$  with  $U_A$  as index set. The map  $Q_A:\ell_1(U_A)\longrightarrow A$  defined by  $Q_A(\lambda_a)=\sum_{a\in U_A}\lambda_a a$  is a metric surjection. The space  $\ell_\infty(U_{B^\bullet})$  is formed by all bounded families of scalars indexed by the elements of  $U_{B^\bullet}$ . Write  $J_B:B\longrightarrow \ell_\infty(U_{B^\bullet})$  for the isometric embedding given by  $J_Bb=(\langle f,b\rangle)_{f\in U_{B^\bullet}}$ .

A class  $\mathcal{I}$  of bounded linear operators is said to be an operator ideal if each component  $\mathcal{I} \cap \mathcal{L}(A,B) = \mathcal{I}(A,B)$  is a linear subspace of  $\mathcal{L}(A,B)$  that contains the finite rank operators and satisfies that  $STR \in \mathcal{I}(E,F)$  whenever  $R \in \mathcal{L}(E,A)$ ,  $T \in \mathcal{I}(A,B)$  and  $S \in \mathcal{L}(B,F)$ . The ideal  $\mathcal{I}$  is called closed if each component  $\mathcal{I}(A,B)$  is closed in  $\mathcal{L}(A,B)$ . The ideal  $\mathcal{I}$  is said to be surjective if for every  $T \in \mathcal{L}(A,B)$  it follows from  $TQ_A \in \mathcal{I}(\ell_1(U_A),B)$  that  $T \in \mathcal{I}(A,B)$ . The ideal  $\mathcal{I}$  is called injective if for every  $T \in \mathcal{L}(A,B)$  it follows from  $J_BT \in \mathcal{I}(A,\ell_\infty(U_{B^{\bullet}}))$  that  $T \in \mathcal{I}(A,B)$ . Compact operators  $\mathcal{K}$  or weakly compact operators  $\mathcal{W}$  are examples of closed injective and surjective operator ideals. Strictly singular operators  $\mathcal{S}$  is an ideal which is closed and injective but it is not surjective, while strictly cosingular operators  $\mathcal{C}$  is closed and surjective but it is not injective (see [17]).

Given an operator ideal  $\mathcal{I}$ , we put  $\bar{\mathcal{I}}^s$  for its closed surjective hull, that is, the smallest closed surjective operator ideal containing  $\mathcal{I}$ . For  $T \in \mathcal{L}(A,B)$ , it turns out that T belongs to  $\bar{\mathcal{I}}^s(A,B)$  if and only if for every  $\varepsilon > 0$  there is a Banach space E and an operator  $R \in \mathcal{I}(E,B)$  such that

$$T(U_A) \subseteq R(U_E) + \varepsilon U_B$$
 (see [15]).

The characterization for the elements of the closed injective hull  $\bar{\mathcal{I}}^i$  of  $\mathcal{I}$  is as follows: Let  $T \in \mathcal{L}(A,B)$ . The operator T belongs to  $\bar{\mathcal{I}}^i(A,B)$  if and only if for every  $\varepsilon > 0$  there is a Banach space F and an operator  $S \in \mathcal{I}(A,F)$  such that

$$||Tx||_B \le ||Sx||_F + \varepsilon ||x||_A, x \in A.$$

It is natural then to associate with  $\mathcal I$  the functionals defined for each  $T\in\mathcal L(A,B)$  by

$$\gamma_{\mathcal{I}}(T) = \gamma_{\mathcal{I}}(T_{A,B}) = \inf\{\sigma > 0 : T(U_A) \subseteq \sigma U_B + R(U_E), R \in \mathcal{I}(E,B), E \text{ any Banach space}\},$$

$$\beta_{\mathcal{I}}(T) = \beta_{\mathcal{I}}(T_{A,B}) = \inf\{\sigma > 0 : \text{ there is a Banach space } F \text{ and } S \in \mathcal{I}(A,F) \text{ such that } ||Tx||_B < \sigma ||x||_A + ||Sx||_F, x \in A\}.$$

The (outer) measure  $\gamma_{\mathcal{I}}$  was introduced by Astala in [1], and it shows the deviation of T from  $\bar{\mathcal{I}}^s$  in the sense that

$$\gamma_{\tau}(T) = 0$$
 if and only if  $T \in \bar{\mathcal{I}}^s(A, B)$ .

The (inner) measure  $\beta_{\mathcal{I}}$  was introduced by Tylli in [19] and it gives the deviation of T from  $\bar{\mathcal{I}}^i$ . These funtionals are subadditive

$$\gamma_{\tau}(S+T) \le \gamma_{\tau}(S) + \gamma_{\tau}(T)$$
 ,  $\beta_{\tau}(S+T) \le \beta_{\tau}(S) + \beta_{\tau}(T)$ 

submultiplicative

$$\gamma_{\tau}(ST) \le \gamma_{\tau}(S)\gamma_{\tau}(T)$$
 ,  $\beta_{\tau}(ST) \le \beta_{\tau}(S)\beta_{\tau}(T)$ 

satisfy that

$$\max\left\{\gamma_{\tau}(T)\,,\,\beta_{\tau}(T)\right\} \leq ||T||$$

and moreover the following minimal properties hold

$$\gamma_{\tau}(J_B T) = \min\{\gamma_{\tau}(jT) : j : B \longrightarrow F \text{ isometric embedding}\}$$
 (1)

$$\beta_{\mathcal{I}}(TQ_A) = \min\{\beta_{\mathcal{I}}(T\pi) : \pi : E \longrightarrow A \text{ metric surjection}\}$$
 (2) (see [1], pag. 21 and [9], § 2 ).

Let us see now some concrete cases. Choose  $\mathcal{I}=\mathcal{K}$ , the ideal of compact operators, so  $\bar{\mathcal{K}}^i=\bar{\mathcal{K}}^s=\mathcal{K}$ . It can be checked that  $\gamma_{\mathcal{K}}(T)$  coincides with the (ball) measure of non-compactness of T

$$\gamma_{\kappa}(T) = \inf\{\sigma > 0 : \text{ there exists a finite number of elements}$$
  
 $b_1, \ldots, b_k \in B \text{ such that } T(U_A) \subseteq \bigcup_{i=1}^k \{b_i + \sigma U_B\}\}$ 

while  $\beta_{\kappa}(T) = \lim_{n \to \infty} c_n(T)$ , where  $(c_n(T))$  is the sequence of the Gelfand numbers of T. The measures  $\gamma_{\kappa}$  and  $\beta_{\kappa}$  are equivalent. More precisely

$$\frac{1}{2}\gamma_{\kappa}(T) \leq \beta_{\kappa}(T) \leq 2\gamma_{\kappa}(T) \quad (\text{see [16]}).$$

Take next  $\mathcal{I} = \mathcal{W}$ , the ideal of weakly compact operators. Again  $\bar{\mathcal{W}}^i = \bar{\mathcal{W}}^s = \mathcal{W}$ . The measure  $\gamma_{\mathcal{W}}(T)$  is equal to the measure of weak non-compactness introduced by De Blasi [13]

$$\gamma_{\mathcal{W}}(T) = \inf\{\sigma > 0 : \text{ there is a weakly compact set } W \text{ in } B \text{ such that } T(U_A) \subseteq W + \sigma U_B\}.$$

As in the previous example,  $\beta_{w}(T) = \gamma_{w}(T^{*})$ , but this time  $\gamma_{w}$  and  $\beta_{w}$  are not equivalent (see [2]).

For  $\mathcal{I} = \mathcal{S}$ , the ideal of strictly singular operators, one has  $\bar{\mathcal{S}}^i = \mathcal{S}$  and  $\bar{\mathcal{S}}^s = \mathcal{R}$ , where  $\mathcal{R}$  stands for the ideal of Rosenthal operators (see [17]). The functional  $\beta_s$  is the relevant one to show the deviation of an operator from being strictly singular, while  $\gamma_s = \gamma_{\mathcal{R}}$  gives the deviation of an operator from being Rosenthal.

Cosingular operators C satisfy that  $\bar{C}^s = C$  and  $\bar{C}^i = \mathcal{R}$ . The relevant functional to work with C is then  $\gamma_c$ .

Next we review the definition and some basic results on interpolation methods <u>defined by means</u> of polygons.

Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon in the plane  $\mathbb{R}^2$ , with vertices  $P_j = (x_j, y_j)$ ,  $j = 1, \dots, N$ . By a Banach N-tuple we mean a family  $\tilde{A} =$ 

 $\{A_1, \ldots, A_N\}$  of N Banach spaces  $A_j$  which are continuously embedded in a common Hausdorff topological space. It will be useful to imagine each space  $A_j$  as sitting in the vertex  $P_j$ .

By means of the polygon II, we define the following family of norms on  $\Sigma(\bar{A}) = A_1 + \cdots + A_N$ 

$$K(t,s;a) = \inf \left\{ \sum_{j=1}^{N} t^{x_j} s^{y_j} ||a_j||_{A_j} : a = \sum_{j=1}^{N} a_j , \ a_j \in A_j \right\}, \quad t,s > 0.$$

The corresponding family of norms on  $\Delta(\bar{A}) = A_1 \cap \cdots \cap A_N$  is

$$J(t, s; a) = \max_{1 \le j \le N} \left\{ t^{x_j} s^{y_j} ||a||_{A_j} \right\}, \quad t, s > 0.$$

Given any interior point  $(\alpha, \beta)$  of  $\Pi$   $[(\alpha, \beta) \in \text{Int } \Pi]$  and any  $1 \leq q \leq \infty$ , the K-space  $\bar{A}_{(\alpha,\beta),q;K}$  consists of all a in  $\Sigma(\bar{A})$  which have a finite norm

$$||a||_{(\alpha,\beta),q;K} = \left(\sum_{(m,n)\in\mathbb{Z}^2} \left(2^{-\alpha m - \beta n} K(2^m, 2^n; a)\right)^q\right)^{\frac{1}{q}} \quad (\text{if } q < \infty)$$

$$||a||_{(\alpha,\beta),\infty;K} = \sup_{(m,n)\in\mathbb{Z}^2} \left\{2^{-\alpha m - \beta n} K(2^m, 2^n; a)\right\}.$$

The J-space  $\bar{A}_{(\alpha,\beta),q;J}$  is formed by all those elements a in  $\Sigma(\bar{A})$  which can be represented as

$$a = \sum_{(m,n) \in \mathbb{Z}^2} u_{m,n}$$
 (convergence in  $\Sigma(\tilde{A})$ )

with  $u_{m,n} \in \Delta(\bar{A})$  and

$$\left(\sum_{(m,n)\in\mathbf{Z}^2} \left(2^{-\alpha m-\beta n}J(2^m,2^n;u_{m,n})\right)^q\right)^{\frac{1}{q}} < \infty$$

(the sum should be replaced by the supremum if  $q = \infty$ ). The norm in  $\bar{A}_{(\alpha,\beta),q;J}$  is

$$||a||_{(\alpha,\beta),q;J} = \inf \left\{ \left( \sum_{(m,n)\in \mathbb{Z}^2} \left( 2^{-\alpha m - \beta n} J(2^m, 2^n; u_{m,n}) \right)^q \right)^{\frac{1}{q}} \right\}$$

where the infimum is taken over all representations  $(u_{m,n})$  of a as above.

These interpolation spaces were introduced by Cobos and Peetre in [12]. One can find there continuous characterizations of  $\bar{A}_{(\alpha,\beta),q;K}$  and  $\bar{A}_{(\alpha,\beta),q;J}$ , using integrals instead of sums, but they will not be required here. An important difference with the classical real method for couples, where K- and J-spaces coincide to within equivalence of norms (see [3] and [18]), is that in general  $\bar{A}_{(\alpha,\beta),q;K} \neq \bar{A}_{(\alpha,\beta),q;J}$ . We only have now that  $\bar{A}_{(\alpha,\beta),q;J}$  is continuously embedded in  $\bar{A}_{(\alpha,\beta),q;K}$  (see [12], Thm. 1.3).

Let  $\bar{B} = \{B_1, \ldots, B_N\}$  be another Banach N-tuple which we also imagine as sitting on the vertices of another copy of the polygon  $\Pi$ . By  $T \in \mathcal{L}(\bar{A}, \bar{B})$  we mean a linear operator from  $\Sigma(\bar{A})$  into  $\Sigma(\bar{B})$  whose restriction to each  $A_j$  defines a bounded operator from  $A_j$  into  $B_j$ ,  $j = 1, \ldots, N$ . Let  $M_j = ||T||_{A_j, B_j}$ .

If  $T \in \mathcal{L}(\bar{A}, \bar{B})$ , then the restriction of T to  $\bar{A}_{(\alpha,\beta),q;K}$  gives a bounded linear operator  $T: \bar{A}_{(\alpha,\beta),q;K} \longrightarrow \bar{B}_{(\alpha,\beta),q;K}$ . The norm of this interpolated operator has been computed in [8], Thm. 1.9. It turns out that

$$||T||_{\bar{A}_{(\alpha,\beta),q;K},\bar{B}_{(\alpha,\beta),q;K}} \le C_1 \max \left\{ M_i^{c_i} \ M_k^{c_k} \ M_r^{c_r} \ : \ \{i,k,r\} \in \mathcal{P} \right\}. \tag{3}$$

Here  $C_1$  is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ ,  $\mathcal{P}$  stands for the set of all those triples  $\{i, k, r\}$  such that  $(\alpha, \beta)$  belongs to the triangle with vertices  $P_i, P_k, P_r$ , and  $(c_i, c_k, c_r)$  are the barycentric coordinates of  $(\alpha, \beta)$  with respect to  $P_i, P_k, P_r$ . A similar estimate holds for J-spaces.

When the interpolated operator is considered from a J-space into a K-space then a better estimate is valid. Namely

$$||T||_{\bar{A}_{(\alpha,\beta),q;J},\bar{B}_{(\alpha,\beta),q;K}} \le C_2 \prod_{j=1}^N M_j^{\theta_j}.$$
 (4)

Here  $0 < \theta_1, \ldots, \theta_N < 1$  with  $\sum_{j=1}^N \theta_j = 1$  and  $\sum_{j=1}^N \theta_j P_j = (\alpha, \beta)$  (that is,  $\bar{\theta} = (\theta_1, \ldots, \theta_N)$  are some barycentric coordinates of  $(\alpha, \beta)$  with respect to the vertices  $P_1, \ldots, P_N$ ), and  $C_2$  is a constant depending only on  $\bar{\theta}$  (see [8], Thm. 3.2).

Estimate (1.4) implies that

$$||a||_{(\alpha,\beta),q;K} \le C_3 \prod_{j=1}^N ||a||_{A_j}^{\theta_j}, \quad a \in \Delta(\bar{A}).$$
 (5)

On the other hand, inequality (1.3) in the case of J-spaces yields that

$$||a||_{(\alpha,\beta),q;J} \le C_4 \max \left\{ ||a||_{A_i}^{c_i} ||a||_{A_k}^{c_k} ||a||_{A_r}^{c_r} : \{i,k,r\} \in \mathcal{P} \right\}, a \in \Delta(\bar{A}).$$

$$(6)$$

## 2 Estimates for degenerated cases

The following result describes the behaviour of the ideal variations when one of the N-tuples reduces to a single Banach space.

**Theorem 2.1.** Let  $\mathcal{I}$  be an operator ideal, let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in Int \Pi$  and  $1 \leq q \leq \infty$ . Define  $\mathcal{P}$  and  $\bar{\theta} = (\theta_1, \dots, \theta_N)$  as before. Assume that  $\bar{A} = \{A_1, \dots, A_N\}$  is a Banach N-tuple and that B is a Banach space.

If 
$$T \in \mathcal{L}(\Sigma(\tilde{A}), B)$$
 then

$$\begin{aligned} a) & \gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;K},B}) \\ & \leq D_{1} \max \left\{ \gamma_{\mathcal{I}}(T_{A_{i},B})^{c_{i}} \gamma_{\mathcal{I}}(T_{A_{k},B})^{c_{k}} \gamma_{\mathcal{I}}(T_{A_{r},B})^{c_{r}} : \{i,k,r\} \in \mathcal{P} \right\}. \end{aligned}$$

$$b) \ \gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;J},B}) \leq D_2 \prod_{i=1}^{N} \gamma_{\mathcal{I}}(T_{A_j,B})^{\theta_j}.$$

If 
$$T \in \mathcal{L}(B, \Delta(\bar{A}))$$
 then

c) 
$$\beta_{\tau}(T_{B,\bar{A}_{(\alpha,\beta),q;J}})$$
  
 $\leq D_3 \max \{\beta_{\tau}(T_{B,A_i})^{c_i} \beta_{\tau}(T_{B,A_k})^{c_k} \beta_{\tau}(T_{B,A_\tau})^{c_r} : \{i,k,r\} \in \mathcal{P}\}.$ 

$$d) \ \beta_{\mathcal{I}}(T_{B,\bar{A}_{(\alpha,\beta),q;K}}) \leq D_4 \prod_{i=1}^N \beta_{\mathcal{I}}(T_{B,A_j})^{\theta_j}.$$

Here  $D_1$  and  $D_3$  are constants depending only on  $\Pi$  and  $(\alpha, \beta)$ , while  $D_2$  and  $D_4$  are other constants that only depend on  $\bar{\theta}$ .

**Proof.** Since  $\bar{A}_{(\alpha,\beta),q;K} \hookrightarrow \bar{A}_{(\alpha,\beta),\infty;K}$  with norm less than or equal to 1, in order to establish a) it is enough to consider the case  $q = \infty$ . Observe that there is a constant C, depending only on  $\Pi$  and  $(\alpha,\beta)$ , such that

$$\sup_{t,s>0} \left\{ t^{-\alpha} s^{-\beta} K(t,s;a) \right\} \le C \|a\|_{(\alpha,\beta),\infty;K}, \quad a \in \bar{A}_{(\alpha,\beta),\infty;K}.$$

Hence, given any  $\varepsilon,t,s>0$  and  $a\in U_{\bar{A}(\alpha,\beta),\infty;K}$ , we can find a decomposition  $a=\sum_{j=1}^N a_j$  with  $a_j\in A_j$  and  $\|a_j\|_{A_j}\leq (1+\varepsilon)Ct^{\alpha-x_j}s^{\beta-y_j}$ ,  $1\leq j\leq N$ . So

$$U_{\bar{A}_{(\alpha,\beta),\infty;K}} \subseteq \sum_{j=1}^{N} (1+\varepsilon)Ct^{\alpha-x_j}s^{\beta-y_j}U_{A_j}.$$

Let  $\sigma_j > \gamma_{\mathcal{I}}(T_{A_j,B})$ . According to the definition of  $\gamma_{\mathcal{I}}$ , there exists a Banach space  $E_j$  and an operator  $R_j \in \mathcal{I}(E_j,B)$  so that

$$T(U_{A_j}) \subseteq \sigma_j U_B + R_j(U_{E_j}), \quad 1 \le j \le N.$$

Therefore  $T\left(U_{\bar{A}_{(\alpha,\beta),\infty;K}}\right)$ 

$$\subseteq \sum_{j=1}^{N} (1+\varepsilon)C\sigma_{j}t^{\alpha-x_{j}}s^{\beta-y_{j}}U_{B} + \sum_{j=1}^{N} (1+\varepsilon)Ct^{\alpha-x_{j}}s^{\beta-y_{j}}R_{j}(U_{E_{j}})$$

$$\subseteq (1+\varepsilon)C\left(\sum_{j=1}^N t^{\alpha-x_j}s^{\beta-y_j}\sigma_j\right)U_B+R_{\varepsilon,t,s}(U_E).$$

Here  $E=\{(z_1,\ldots,z_N):z_j\in E_j\}$  normed by  $\|(z_1,\ldots,z_N)\|_E=\max\{\|z_j\|_{E_j}:1\leq j\leq N\}$  (i.e.,  $E=(\bigoplus_{j=1}^N E_j)_{\ell_\infty}$ ), and  $R_{\varepsilon,t,s}:E\to B$  is the operator defined by  $R_{\varepsilon,t,s}(z_1,\ldots,z_N)=(1+\varepsilon)C\sum_{j=1}^N t^{\alpha-x_j}s^{\beta-y_j}R_jz_j$ . Ideal property of  $\mathcal I$  implies that  $R_{\varepsilon,t,s}\in\mathcal I(E,B)$ . Hence

$$\begin{split} \gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;K},B}) &\leq C \inf_{t,s>0} \left\{ \sum_{j=1}^{N} t^{\alpha-x_{j}} s^{\beta-y_{j}} \gamma_{\mathcal{I}}(T_{A_{j},B}) \right\} \\ & \cdot \\ & \leq NC \inf_{t,s>0} \left\{ \max_{1 \leq j \leq N} \{ t^{\alpha-x_{j}} s^{\beta-y_{j}} \gamma_{\mathcal{I}}(T_{A_{j},B}) \} \right\} \\ &= NC \max \left\{ \gamma_{\mathcal{I}}(T_{A_{i},B})^{c_{i}} \gamma_{\mathcal{I}}(T_{A_{k},B})^{c_{k}} \gamma_{\mathcal{I}}(T_{A_{r},B})^{c_{r}} : \{i,k,r\} \in \mathcal{P} \right\} \end{split}$$

where we have used [8], Thm. 1.9, in the last equality. This establishes a).

To prove b) let again  $\sigma_j > \gamma_{\tau}(T_{A_j,B})$ , and consider the following norm on  $\Sigma(\bar{A})$ 

$$|\!|\!|\!| a |\!|\!|\!| = \inf \left\{ \sum_{j=1}^N \sigma_j ||a_j||_{A_j} \ : \ a = \sum_{j=1}^N a_j \ , \ a_j \in A_j \right\}.$$

Take any  $a \in U_{\bar{A}_{(\alpha,\beta),q;J}}$  and  $\varepsilon > 0$ . Using the Hahn-Banach theorem, we can find  $f \in (\Sigma(\bar{A}), \|\cdot\|)^*$  such that  $f((1+\varepsilon)^{-1}a) = \|(1+\varepsilon)^{-1}a\|$  and  $\|f\|_{A_j^*} \leq \sigma_j$ ,  $1 \leq j \leq N$ . By (4), the norm  $\|f\|_{(\bar{A}_{(\alpha,\beta),q;J})^*}$  of the restriction of f to  $\bar{A}_{(\alpha,\beta),q;J}$  is less than or equal to  $C\prod_{j=1}^N \sigma_j^{\theta_j}$ . Whence

$$\begin{split} \|a\| &= (1+\varepsilon)|f((1+\varepsilon)^{-1}a)| \\ &\leq (1+\varepsilon)C\prod_{j=1}^N \sigma_j^{\theta_j} \|(1+\varepsilon)^{-1}a)\|_{(\alpha,\beta),q;J} < (1+\varepsilon)C\prod_{j=1}^N \sigma_j^{\theta_j}. \end{split}$$

This allows us to find a representation  $a=\sum_{j=1}^N a_j$  of a with  $\|a_j\|_{A_j}\leq (1+\varepsilon)C\sigma_1^{\theta_1}\ldots\sigma_j^{\theta_{j-1}}\ldots\sigma_N^{\theta_N}$ ,  $1\leq j\leq N$ . Choosing again Banach spaces  $E_j$  and operators  $R_j\in\mathcal{I}(E_j,B)$  with

$$T(U_{A_j}) \subseteq \sigma_j U_B + R_j(U_{E_j}), \quad 1 \le j \le N,$$

it follows that

$$T\left(U_{\tilde{A}_{(\alpha,\beta),q_iJ}}\right)\subseteq (1+\varepsilon)C\sum_{j=1}^N\sigma_1^{\theta_1}\dots\sigma_j^{\theta_j-1}\dots\sigma_N^{\theta_N}T(U_{A_j})$$

$$\subseteq (1+\varepsilon)CN\sigma_1^{\theta_1}\dots\sigma_N^{\theta_N}U_B + (1+\varepsilon)C\sum_{j=1}^N \sigma_1^{\theta_1}\dots\sigma_j^{\theta_{j-1}}\dots\sigma_N^{\theta_N}R_j(U_{E_j})$$

$$\subseteq (1+\varepsilon)CN\sigma_1^{\theta_1}\dots\sigma_N^{\theta_N}U_B+R(U_E)$$

where  $E = \left( \bigoplus_{j=1}^N E_j \right)_{\ell_\infty}$  and  $R \in \mathcal{I}(E,B)$  is the operator defined by

$$R(z_1,\ldots,z_N)=(1+\varepsilon)C\sum_{j=1}^N\sigma_1^{\theta_1}\ldots\sigma_j^{\theta_j-1}\ldots\sigma_N^{\theta_N}R_jz_j.$$

Consequently

$$\gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;J},B}) \leq CN \prod_{j=1}^{N} \gamma_{\mathcal{I}}(T_{A_{j},B})^{\theta_{j}}.$$

To proceed to c) and d), assume that  $T \in \mathcal{L}(B, \Delta(\bar{A}))$  and let  $\sigma_j > \beta_{\mathcal{I}}(T_{B,A_j})$ ,  $1 \leq j \leq N$ . By the definition of  $\beta_{\mathcal{I}}$ , we can find Banach spaces  $F_j$  and operators  $S_j \in \mathcal{I}(B, F_j)$  so that

$$||Tb||_{A_j} \le \sigma_j ||b||_B + ||S_j b||_{F_j}, \quad b \in B.$$

Put  $F=\left(\bigoplus_{j=1}^N F_j\right)_{\ell_1}$ ,  $\sigma=\min\{\sigma_1,\ldots,\sigma_N\}$  and let  $S\in\mathcal{I}(B,F)$  be the operator defined by

$$Sb = \max \left\{ \sigma_i^{c_i} \sigma_k^{c_k} \sigma_r^{c_r} : \{i, k, r\} \in \mathcal{P} \right\} \sigma^{-1}(S_1 b, \dots, S_N b).$$

Using (6) we get that

$$||Tb||_{(\alpha,\beta),q;J} \le C \max \left\{ ||Tb||_{A_i}^{c_i} ||Tb||_{A_k}^{c_k} ||Tb||_{A_r}^{c_r} : \{i,k,r\} \in \mathcal{P} \right\}$$

$$\le C \max \left\{ \sigma_i^{c_i} \sigma_r^{c_k} \sigma_r^{c_r} : \{i,k,r\} \in \mathcal{P} \right\} ||b||_B + C||Sb||_F,$$

and c) follows.

Finally, working with the operator  $V \in \mathcal{I}(B, F)$  given by

$$Vb = \sigma^{-1}\left(\prod_{j=1}^{N} \sigma_{j}^{\theta_{j}}\right) (S_{1}b, \dots, S_{N}b)$$

and using (5), we derive that

$$||Tb||_{(\alpha,\beta),q;K} \le C \prod_{j=1}^{N} ||Tb||_{A_{j}}^{\theta_{j}} \le C \prod_{j=1}^{N} \left(\sigma_{j} ||b||_{B} + ||S_{j}b||_{F_{j}}\right)^{\theta_{j}}$$

$$\le C \prod_{j=1}^{N} \sigma_{j}^{\theta_{j}} \left(||b||_{B} + \frac{1}{\sigma} ||R_{j}b||_{F_{j}}\right)^{\theta_{j}} \le C \left(\prod_{j=1}^{N} \sigma_{j}^{\theta_{j}}\right) ||b||_{B} + C||Vb||_{F}.$$

This implies d) and completes the proof.

Writing down Theorem 2.1 for the case  $\mathcal{I} = \mathcal{W}$ , the ideal of weakly compact operators, we get a quantitative version of Thms 2.3 and 2.4 in [5]. For  $\mathcal{I} = \mathcal{K}$ , the ideal of compact operators, we obtain estimates for the measure of non-compactness of the interpolated operator that are analogous to those proved in [7], Prop. 3.1 and 3.3 for entropy numbers. Recall that the measure of non-compactness is the limit of the sequence of entropy numbers. Theorem 2.1 can be also applied to derive results on strict singularity and cosingularity.

# 3 Estimates for the general case

We deal now with the case of non-degenerated N-tuples. It is not difficult to show by means of examples that Theorem 2.1 fails in this general case. However, assuming an extra condition on the operator ideal  $\mathcal{I}$ , we shall be able to describe the behaviour of the ideal variations.

Given any sequence of Banach spaces  $(Z_{m,n})_{(m,n)\in\mathbb{Z}^2}$ , any sequence of non-negative numbers  $(\lambda_{m,n})_{(m,n)\in\mathbb{Z}^2}$  and  $1 < q < \infty$ , we denote by  $\ell_q(\lambda_{m,n}Z_{m,n})$  the vector-valued  $\ell_q$  space defined by

$$\ell_q(\lambda_{m,n}Z_{m,n}) = \left\{ z = (z_{m,n}) : z_{m,n} \in Z_{m,n} \text{ and } \right\}$$

$$||z||_{\ell_q(\lambda_{m,n}Z_{m,n})} = \left(\sum_{(m,n)\in \mathbb{Z}^2} (\lambda_{m,n}||z_{m,n}||_{Z_{m,n}})^q\right)^{\frac{1}{q}} < \infty$$

Any operator  $T \in \mathcal{L}\left(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n})\right)$  between two vector-valued  $\ell_q$  spaces can be imagined as an infinite matrix with entries  $Q_{r,s}TP_{u,v}$ . Here  $P_{u,v}: \lambda_{u,v}Z_{u,v} \longrightarrow \ell_q(\lambda_{m,n}Z_{m,n})$  is the embedding  $P_{u,v}z = (\delta_{m,n}^{u,v}z)$ , where

$$\delta_{m,n}^{u,v} = \left\{ \begin{array}{ll} 1 & \text{if } m=u,n=v \\ 0 & \text{otherwise} \end{array} \right. \text{, and } Q_{r,s}: \ell_q(\mu_{m,n}Y_{m,n}) \longrightarrow \mu_{r,s}Y_{r,s} \text{ is the}$$

projection  $Q_{r,s}(y_{m,n}) = y_{r,s}$ .

For  $1 < q < \infty$ , we say that the operator ideal  $\mathcal{I}$  satisfies the  $\Sigma_q$ -condition if for any sequences of Banach spaces

$$(\lambda_{m,n}Z_{m,n})$$
,  $(\mu_{m,n}Y_{m,n})$  and any  $T \in \mathcal{L}\left(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n})\right)$ , it follows from  $Q_{r,s}TP_{u,v} \in \mathcal{I}\left(\lambda_{u,v}Z_{u,v}, \mu_{r,s}Y_{r,s}\right)$  for any  $r,s,u,v$  that 
$$T \in \mathcal{I}\left(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n})\right).$$

Weakly compact operators, Rosenthal operators, Banach-Saks operators or dual Radon-Nikodym operators are examples of ideals satisfying the  $\Sigma_q$ -condition (see [14]). All of them are also injective surjective and closed.

The following result shows the behaviour of the measure  $\gamma_{\tau}$  with K-spaces.

**Theorem 3.1.** Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in Int \Pi$ ,  $1 < q < \infty$ , and let  $\mathcal{I}$  be an operator ideal which satisfies the  $\Sigma_q$ -condition. Assume that  $\bar{A} = \{A_1, \dots, A_N\}$  and  $\bar{B} = \{B_1, \dots, B_N\}$  are Banach N-tuples and let  $T \in \mathcal{L}(\bar{A}, \bar{B})$ . Then for the interpolated operator we have

$$\begin{split} \gamma_{\mathcal{I}}\left(\left[J_{\tilde{B}_{(\boldsymbol{\alpha},\boldsymbol{\beta}),q;K}}T\right]_{\bar{A}_{(\boldsymbol{\alpha},\boldsymbol{\beta}),q;K},\boldsymbol{\ell}_{\infty}(U_{\tilde{B}_{(\boldsymbol{\alpha},\boldsymbol{\beta}),q;K}^{\bullet}})\right) \\ &\leq D \, \max\left\{\gamma_{\mathcal{I}}(T_{A_{i},B_{i}})^{c_{i}} \, \gamma_{\mathcal{I}}(T_{A_{k},B_{k}})^{c_{k}} \, \gamma_{\mathcal{I}}(T_{A_{r},B_{r}})^{c_{r}} \, : \, \{i,k,r\} \in \mathcal{P}\right\} \end{split}$$

where D is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ .

**Proof.** Let  $F_{m,n}=(B_1+\ldots+B_N,K(2^m,2^n;\cdot)), (m,n)\in \mathbb{Z}^2$ , and form the vector-valued space  $\ell_q(2^{-\alpha m-\beta n}F_{m,n})$ . The map  $j:\bar{B}_{(\alpha,\beta),q;K}\longrightarrow \ell_q(2^{-\alpha m-\beta n}F_{m,n})$  defined by  $jb=(\ldots,b,b,b,\ldots)$  is an isometric embedding. By (1.1), it is then enough to show the inequality for jT.

Let  $\sigma_j > \gamma_{\mathcal{I}}(T_{A_j,B_j})$  and find Banach spaces  $E_j$  and operators  $R_j \in \mathcal{I}(E_j,B_j)$  so that

$$T(U_{A_j}) \subseteq \sigma_j U_{B_j} + R_j(U_{E_j}), \quad j = 1, ..., N.$$
 (7)

Put

$$W_{m,n} = (E_1 \oplus \ldots \oplus E_N)_{\ell_\infty}, \quad (m,n) \in \mathbb{Z}^2$$

and, for  $\delta > 0$  and  $(r,s) \in \mathbb{Z}^2$ , consider the operator  $R: \ell_q(W_{m,n}) \longrightarrow \ell_q\left(2^{-\alpha m - \beta n} F_{m,n}\right)$  defined by

$$R(z_1^{m,n},\ldots,z_N^{m,n}) = \left(\sum_{j=1}^N (1+\delta)2^{(\alpha-x_j)(m+r)}2^{(\beta-y_j)(n+s)}R_jz_j^{m,n}\right).$$

This operator is bounded because

$$\|R\left(z_1^{m,n},\ldots,z_N^{m,n}\right)\|_{\ell_q\left(2^{-\alpha m-\beta n}F_{m,n}\right)}$$

$$\leq \Big(\sum_{(m,n)\in Z^2} \Big(2^{-\alpha m-\beta n} \sum_{j=1}^N (1+\delta) 2^{mx_j+ny_j} 2^{(\alpha-x_j)(m+r)}\Big)$$

$$.2^{(\beta-y_j)(n+s)} ||R_j||_{E_j,B_j} ||z_j^{m,n}||_{E_j})^q \Big)^{\frac{1}{q}}$$

$$\leq (1+\delta) N \max_{1\leq j\leq N} \left\{ 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} ||R_j||_{E_j,B_j} \right\} ||(z_1^{m,n},\ldots,z_N^{m,n})||_{\ell_q(W_{m,n})}.$$

Moreover, since each entry

$$Q_{t,w}RP_{u,v}(z_1,\cdots,z_N) =$$

$$\begin{cases} 0 & \text{if } (t, w) \neq (u, v) \\ \sum_{j=1}^{N} (1+\delta) 2^{(\alpha-x_j)(t+r)} 2^{(\beta-y_j)(w+s)} R_j z_j & \text{if } (t, w) = (u, v) \end{cases}$$

belongs to  $\mathcal{I}(W_{u,v}, 2^{-\alpha t - \beta w} F_{t,w})$ , the  $\Sigma_q$ -property implies that

$$R \in \mathcal{I}\left(\ell_q(W_{m,n}), \ell_q(2^{-\alpha m - \beta n}F_{m,n})\right).$$

We claim that

$$jT\left(U_{\bar{A}_{(\alpha,\beta),q;K}}\right)$$

$$\subseteq \left[N(1+\delta)\max_{1\leq j\leq N}\left\{2^{r(\alpha-x_j)+s(\beta-y_j)}\right\}\right]U_{\ell_q(2^{-\alpha m-\beta n}F_{m,n})}+R\left(U_{\ell_q(W_{m,n})}\right).$$

Indeed, given any  $a \in U_{\bar{A}_{(\alpha,\beta),q;K}}$  we can choose  $d_{m,n} = d_{m,n}(a) > 0$  with

$$2^{-\alpha m - \beta n} K(2^m, 2^n; a) < d_{m,n}$$
 and  $\sum_{(m,n) \in \mathbb{Z}^2} d_{m,n}^q \le (1 + \delta)^q$ .

Since

$$K(2^{m+r}, 2^{n+s}; a) < 2^{\alpha(m+r)} 2^{\beta(n+s)} d_{m+r,n+s}$$

we can find a decomposition  $a = \sum_{j=1}^{N} a_j^{m,n}$  with  $a_j^{m,n} \in A_j$  and

$$2^{(m+r)x_j}2^{(n+s)y_j}\|a_j^{m,n}\|_{A_j} \le 2^{\alpha(m+r)}2^{\beta(n+s)}d_{m+r,n+s}.$$

Put

$$\rho_j^{m,n} = 2^{(m+r)x_j} 2^{(n+s)y_j} \;,\; 1 \leq j \leq N \;; \quad \rho_0^{m,n} = 2^{\alpha(m+r)} 2^{\beta(n+s)} d_{m+r,n+s} \;.$$

By (7), we can choose  $z_j^{m,n} \in U_{E_j}$  such that

$$||T(\frac{\rho_j^{m,n}}{\rho_0^{m,n}}a_j^{m,n}) - R_j z_j^{m,n}||_{B_j} \le \sigma_j.$$

In other words,

$$||Ta_j^{m,n} - \frac{\rho_0^{m,n}}{\rho_j^{m,n}} R_j z_j^{m,n}||_{B_j} \le \frac{\rho_0^{m,n}}{\rho_j^{m,n}} \sigma_j = 2^{(m+r)(\alpha-x_j)} 2^{(n+s)(\beta-y_j)} \sigma_j d_{m+r,n+s}.$$

Let

$$z = \left( (1+\delta)^{-1} d_{m+r,n+s} z_1^{m,n}, \dots, (1+\delta)^{-1} d_{m+r,n+s} z_N^{m,n} \right).$$

Then  $z \in U_{\ell_q(W_{m,n})}$  and  $||(jT)a - Rz||_{\ell_q(2^{-\alpha_m - \beta_n}F_{m,n})}^q$ 

$$\leq \sum_{(m,n)\in Z^{2}} \left[ 2^{-\alpha m - \beta n} \left( \sum_{j=1}^{N} 2^{mx_{j} + ny_{j}} || Ta_{j}^{m,n} - \frac{\rho_{0}^{m,n}}{\rho_{j}^{m,n}} R_{j} z_{j}^{m,n} ||_{B_{j}} \right) \right]^{q}$$

$$\leq \sum_{(m,n)\in Z^{2}} \left[ 2^{-\alpha m - \beta n} \left( \sum_{j=1}^{N} 2^{mx_{j} + ny_{j}} 2^{(m+r)(\alpha - x_{j}) + (n+s)(\beta - y_{j})} \sigma_{j} d_{m+r,n+s} \right) \right]^{q}$$

$$\leq \left[ N \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha - x_{j}) + s(\beta - y_{j})} \sigma_{j} \right\} \right]^{q} \sum_{(m,n)\in Z^{2}} d_{m+r,n+s}^{q}$$

$$\leq \left[ N(1 + \delta) \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha - x_{j}) + s(\beta - y_{j})} \sigma_{j} \right\} \right]^{q} .$$

Whence

$$\gamma_{\mathcal{I}}(jT) \leq N(1+\delta) \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha-x_j)+s(\beta-y_j)} \sigma_j \right\}.$$

Here  $\delta > 0$  and  $(r, s) \in \mathbb{Z}^2$  are arbitrary. Therefore we derive that

$$\gamma_{\tau}(jT) \leq N \inf_{(r,s) \in \mathbb{Z}^2} \left[ \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha - x_j) + s(\beta - y_j)} \sigma_j \right\} \right]$$

$$\leq D \inf_{t,s>0} \left[ \max_{1 \leq j \leq N} \left\{ t^{\alpha - x_j} s^{\beta - y_j} \sigma_j \right\} \right]$$

$$= D \max \left\{ \sigma_i^{c_i} \ \sigma_k^{c_k} \sigma_r^{c_r} \ : \ \{i,k,r\} \in \mathcal{P} \right\}$$

where we have used [8], Thm. 1.9, in the last equality. This implies that

$$\gamma_{\mathcal{I}}(jT) \leq D \max \left\{ \gamma_{\mathcal{I}}(T_{A_i,B_i})^{c_i} \ \gamma_{\mathcal{I}}(T_{A_k,B_k})^{c_k} \ \gamma_{\mathcal{I}}(T_{A_r,B_r})^{c_r} \ : \ \{i,k,r\} \in \mathcal{P} \right\}$$
 and completes the proof.

The operator  $J_{\bar{B}_{(\alpha,\beta),q;K}}$  is essential in Theorem 3.1 as we show next by means of an example. We adapt an idea of [9], Remark 3.4.

Let  $\mathcal{I} = \mathcal{W}$  the ideal of weakly compact operators. According to [2], Thm. 4, there is a Banach space E and a sequence of operators  $(R_n)_{n=1}^{\infty} \subseteq \mathcal{L}(E, c_0)$  such that

$$\gamma_{\mathcal{W}}(R_n^{**}) \le \gamma_{\mathcal{W}}(R_n) \le 1/n,\tag{8}$$

$$\gamma_{w}(R_{n}^{*}) = 1. \tag{9}$$

Put

$$T_n = Q_E^* R_n^* \quad , \quad F = Q_E^* (E^*) \; ,$$

choose  $\Pi$  as the simplex  $\{(0,0),(1,0),(0,1)\}$  and consider the 3-tuples

$$\bar{A} = \{\ell_1, \ell_1, \ell_1\} \quad , \quad \bar{B} = \{F, F, \ell_{\infty}(U_E)\}.$$

Let  $\alpha>0,\ \beta>0$  with  $\alpha+\beta<1$  (i.e.  $(\alpha,\beta)\in \operatorname{Int}\Pi)$  and  $1< q<\infty$ . It is clear that  $\bar{A}_{(\alpha,\beta),q;K}=\ell_1$  with equivalence of norms. Moreover  $\bar{B}_{(\alpha,\beta),q;K}=F$  (equivalent norms) because F is a closed subspace of  $\ell_\infty(U_E)$ . Hence, if Theorem 3.1 would be true without  $J_{\bar{B}_{(\alpha,\beta),q;K}}$ , there would exist a constant D>0 such that for any  $n\in\mathbb{N}$   $\gamma_w\left([T_n]_{\ell_1,F}\right)$ 

$$\leq D\gamma_{\mathcal{W}} \left( [T_n]_{\ell_1,F} \right)^{1-\alpha-\beta} \gamma_{\mathcal{W}} \left( [T_n]_{\ell_1,F} \right)^{\alpha} \gamma_{\mathcal{W}} \left( [T_n]_{\ell_1,\ell_{\infty}(U_E)} \right)^{\beta}.$$

But  $Q_E^*: E^* \longrightarrow F$  is an isometry onto, so (9) yields

$$\gamma_{w}([T_{n}]_{\ell_{1},F}) = \gamma_{w}([R_{n}^{*}]_{\ell_{1},E^{*}}) = 1.$$

On the other hand, by (8) and [1], Cor. 5.3, we get

$$\gamma_{\mathcal{W}}\left([T_n]_{\ell_1,\ell_{\infty}(U_E)}\right) = \gamma_{\mathcal{W}}(T_n^*) = \gamma_{\mathcal{W}}(R_n^{**}) \le 1/n.$$

100

Whence (10) reads

$$1 \le Dn^{-\beta}$$
 for any  $n \in \mathbb{N}$ 

which is impossible.

Our last result describe the behaviour of  $\beta_{\mathcal{I}}$  with J-spaces.

**Theorem 3.2.** Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in Int \Pi$ ,  $1 < q < \infty$ , and let  $\mathcal{I}$  be an operator ideal which satisfies the  $\Sigma_q$ -condition. Assume that  $\bar{A} = \{A_1, \dots, A_N\}$  and  $\bar{B} = \{B_1, \dots, B_N\}$  are Banach N-tuples and let  $T \in \mathcal{L}(\bar{A}, \bar{B})$ . Then for the interpolated operator we have

$$\begin{split} \beta_{\mathcal{I}} \left( \left[ TQ_{\bar{A}_{(\alpha,\beta),q;J}} \right]_{\ell_{1}(U_{\bar{A}_{(\alpha,\beta),q;J}}), \bar{B}_{(\alpha,\beta),q;J}} \right) \\ &\leq D \, \max \left\{ \beta_{\mathcal{I}}(T_{A_{i},B_{i}})^{c_{i}} \, \beta_{\mathcal{I}}(T_{A_{k},B_{k}})^{c_{k}} \, \beta_{\mathcal{I}}(T_{A_{r},B_{r}})^{c_{r}} \, : \, \{i,k,r\} \in \mathcal{P} \right\} \end{split}$$

where D is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ .

**Proof.** Put  $G_{m,n} = (A_1 \cap \ldots \cap A_N, J(2^m, 2^n; \cdot)), (m, n) \in \mathbb{Z}^2$ , and let

$$\pi: \ell_q\left(2^{-\alpha m - \beta n}G_{m,n}\right) \longrightarrow \tilde{A}_{(\alpha,\beta),q;J}$$

be the metric surjection  $\pi(u_{m,n}) = \sum_{m,n \in \mathbb{Z}^2} u_{m,n}$ . Taking into account (2), it suffices to establish the inequality for  $T\pi$ .

Let  $\sigma_j > \beta_{\mathcal{I}}(T_{A_j,B_j})$ . There exist Banach spaces  $Z_j$  and operators  $S_j \in \mathcal{I}(A_j,Z_j)$  such that

$$||Tx||_{B_j} \le \sigma_j ||x||_{A_j} + ||S_j x||_{Z_j}, \ x \in A_j, \ 1 \le j \le N.$$
 (11)

For each  $(m,n) \in \mathbb{Z}^2$ , let  $V_{m,n} = (E_1 \oplus \ldots \oplus E_N)_{\ell_1}$ . Take any  $(r,s) \in \mathbb{Z}^2$  and let  $S: \ell_q\left(2^{-\alpha m - \beta n}G_{m,n}\right) \longrightarrow \ell_q(V_{m,n})$  be the operator defined by  $S(u_{m,n}) =$ 

$$\left(2^{(x_1-\alpha)(m-r)}2^{(y_1-\beta)(n-s)}S_1u_{m,n},\ldots,2^{(x_N-\alpha)(m-r)}2^{(y_N-\beta)(n-s)}S_Nu_{m,n}\right).$$

Since

$$||S(u_{m,n})||_{\ell_q(V_{m,n})} =$$

$$\left(\sum_{(m,n)\in\mathbb{Z}^2} \left(\sum_{j=1}^N 2^{(x_j-\alpha)(m-r)} 2^{(y_j-\beta)(n-s)} ||S_j u_{m,n}||_{\mathbb{Z}_j}\right)^q\right)^{\frac{1}{q}}$$

$$\leq \left(\sum_{j=1}^{N} 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} ||S_j||_{A_j,Z_j}\right) ||(u_{m,n})||_{\ell_q(2^{-\alpha m-\beta n}G_{m,n})},$$

the operator S is bounded. Now, by the  $\Sigma_q$ -property, it is easy to check that  $S \in \mathcal{I}\left(\ell_q\left(2^{-\alpha m - \beta n}G_{m,n}\right), \ell_q\left(V_{m,n}\right)\right)$ . A direct computation using (11) shows that  $\|T\pi(u_{m,n})\|_{\bar{B}_{(\alpha,\beta),q;J}}$ 

$$\leq \max_{1\leq j\leq N} \left\{ \sigma_j 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} \right\} \|(u_{m,n})\|_{\ell_q(2^{-\alpha m-\beta n}G_{m,n})} + \|S(u_{m,n})\|_{\ell_q(V_{m,n})}.$$

This implies that

$$\beta_{x}(T\pi) \leq \max_{1 \leq j \leq N} \left\{ \sigma_{j} 2^{(\alpha - x_{j})r} 2^{(\beta - y_{j})s} \right\}.$$

Since  $(r,s) \in \mathbb{Z}^2$  is arbitrary, taking infimum and using [8], Thm. 1.9, the result follows.

Theorems 3.1 and 3.2 comprise Thm. 2.6 and Remark 2.9 of [5]. In particular, they give quantitative estimates for the weak compactness results mentioned in the Introduction.

Note that Theorems 3.1 and 3.2 do not apply to compact operators because this ideal fails the  $\Sigma_q$ -condition. This problem has been studied in [6] and [7].

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Fernando Cobos
Departamento de Análisis Matemático
Facultad de Matemáticas
Universidad Complutense de Madrid
28040 Madrid, Spain
e-mail addres: cobos@eucmax.sim.ucm.es

José María Cordeiro
Departamento de Matemática Aplicada
E.T.S. Ingenieros Industriales
Universidad de Vigo
36200 Vigo, Spain
e-mail addres: cordeiro@uvigo.es

Antón Martínez
Departamento de Matemática Aplicada
E.T.S. Ingenieros Industriales
Universidad de Vigo
36200 Vigo, Spain
e-mail addres: antonmar@uvigo.es

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