

SMOOTH TORAL ACTIONS ON PRINCIPAL BUNDLES AND  
CHARACTERISTIC CLASSES

NIEVES ALAMO AND FRANCISCO GOMEZ

Departamento de Algebra, Geometría y Topología. Facultad de Ciencias. Universidad de Málaga.

Campus Teatinos. Apartado 59. Málaga 29080.

1980 AMS Subject Classification: 57R20

This is an extract of a preprint which will be published elsewhere.

The purpose of our work is to find explicit formulae for the computation of some characteristic classes of smooth principal bundles  $\mathcal{P}: P \rightarrow B$ , in terms of local invariants at a "singular subset"  $A_G$  of  $B$ , associated to a smooth action of a compact Lie group  $G$  on  $\mathcal{P}$ . This singular subset,  $A_G$ , is defined as the set of points  $x$  in  $B$  whose isotropy subgroups  $G_x$  have dimension at least one.

The starting point for our research is the following result:

Let  $\alpha \in H^{2p}(B; k)$  be a characteristic class of  $\mathcal{P}$  with  $2p > n - r$  ( $n = \dim B$ ,  $r = \dim G$ ), where  $H^*$  denotes singular cohomology and  $k$  is any field of characteristic zero. There exists then  $\beta \in H^{2p}(B, B - A_G; k)$  such that  $j^*(\beta) = \alpha$ , where  $j^*$  is the homomorphism induced in cohomology by the inclusion  $j: B \rightarrow (B, B - A_G)$ . In particular, if the action of  $G$  on  $B$  is almost free,  $\alpha$  must be zero. (See [6] and [9] for the particular case of vector bundles)

If  $B$  is compact and oriented, we can see more explicitly the dependence of the characteristic classes on the singular set  $A_G$ , because in this case we have a commutative diagram

$$\begin{array}{ccc} H^{2p}(B, B - A_G) & \xrightarrow{j^*} & H^{2p}(B) \\ \gamma \uparrow \cong & & \cong \uparrow \text{Poincaré duality} \\ H_{n-2p}(A_G) & \longrightarrow & H_{n-2p}(B) \end{array}$$

(see lemma 14, section 10, chapter 6 of [10], for the definition of  $\gamma$ ), and then, if  $2p > n - r$ , the Poincaré dual of  $\alpha$  can be represented by a cycle  $z$  of  $A_G$ . The general problem is to find an explicit formula giving such a  $z$ . This kind of residue formula should involve only the restriction of  $\mathcal{P}$  to  $A_G$ , the action of  $G$  on this restriction, the embedding of  $A_G$  in  $B$  ("normal bundle" of  $A_G$  in  $B$ ), and the action of  $G$  in this "normal bundle".

We shall restrict ourselves to study the case of  $G$  being a torus. This restriction includes the equivalent formulation, for compact manifolds, of infinitesimal isometries. We further assume that the action of  $G$  on  $B$  has finite orbit type (i.e. the action has only a finite number of isotropy subgroups). This is the case, for instance,

when  $B$  is compact (see [8]).

We have:  $A_G = \cup_{F \in \mathcal{F}} F$ , where  $\mathcal{F}$  is the family of connected components

of the fixed point sets under the action of all subtori  $H$  of  $G$ , with  $\dim H \geq 1$ , appearing as 1-component of isotropy subgroups under the action of  $G$  on  $B$ .

We need to assume a hypothesis concerning the "genericity" of the action:

**Definition 1.** The action of  $G$  on  $B$  is called *generic* if for each connected component of  $A_G$ , there exist  $r$  subtori of  $G$  of dimension one,  $S_1, \dots, S_r$ , such that they generate  $G$ , i.e.  $S_1 \dots S_r = G$ , and any subtorus of dimension one appearing as 1-component of isotropy subgroup on that connected component, is one of the  $S_i$  (cf. 2.10 pag 42 of [1]).

In particular, the genericity assumption implies that, each element of  $\mathcal{F}$  which is fixed by a subtorus of dimension  $s$ , is contained in exactly  $s$  elements of  $\mathcal{F}$  which are fixed only by subtori of dimension 1.

To construct characteristic classes, we use the Chern-Weil homomorphism of  $\mathcal{P}$ ,

$$w_{\mathcal{P}} : \text{Sym}(\underline{K})_1 \rightarrow H_{\text{dR}}^*(B)$$

(see for instance [7]), where  $\text{Sym}(\underline{K})_1$  is the graded algebra of multilinear symmetric functions in the Lie algebra  $\underline{K}$  of the structure group  $K$  of  $\mathcal{P}$ , invariant under the adjoint representation of  $K$  in its Lie algebra  $\underline{K}$ .

**The residue classes.**

Let  $F \in \mathcal{F}$  be a connected component of the fixed point set by a subtorus of dimension  $s$ , and let  $F_1, \dots, F_s$  be the elements of  $\mathcal{F}$  containing  $F$ , and fixed only by subtori of dimension 1,  $S_1, \dots, S_s$ , respectively.

Choose  $h_i \neq 0$  in the Lie algebra  $\mathfrak{S}_i$  of  $S_i$ ,  $i = 1, \dots, s$ . Give  $\eta_{F_i}$  (normal bundle of  $F_i$  in  $B$ ) the orientation induced by the complex structure associated to  $h_i$ , and give  $\eta_F = \eta_{F_1|F} \oplus \dots \oplus \eta_{F_s|F}$  the direct sum orientation. (See [6]).

Then, if  $\Gamma \in \text{Sym}(\underline{K})_1$ , and  $2m = \text{codim } F$ , we consider the following "Laurent polynomial" in the indeterminates  $X_1, \dots, X_s$ :

$$(-2\pi)^m (-1)^{s+1} \frac{w(\mathcal{P}|_F, \sum_{i=1}^s X_i h_i, \Gamma)}{\prod_{i=1}^s w(\eta_{F_i|F}, X_i h_i, \text{Pf}_{F_i})}$$

where  $w(\xi, h, \cdot)$  denotes the "generalized" Chern-Weil homomorphism (see [2], or

[6] where the definition differs from the one in this paper by a certain constant factor).

**Definition 2.** We define the *residue class*  $\alpha_{\Gamma}(F) \in H_{\text{dR}}^{2p-2m}(F)$  as the coefficient of the term of degree 0 of the above "Laurent polynomial".

Now, we can state the residue formula as follows:

**Theorem.** Let  $\mathcal{P}: P \rightarrow B$  be a smooth principal bundle with structural group  $K$ , and assume that a torus  $G$  acts smoothly on  $\mathcal{P}$ . Suppose that the action of  $G$  on  $B$  has a finite number of orbit type and it is generic. Then, if  $2p > \dim B - \dim G$ ,

$$w_{\mathcal{P}}(\Gamma) = \sum_{F \in \mathcal{F}} \int_{U}^{-1} \alpha_{\Gamma}(F) \quad ,$$

for  $\Gamma \in \text{Sym}^p(\underline{K})_{\Gamma}$ , and where  $\int_{U}^{-1}$  denotes the inverse of the fiber integral  $\int$  associate to any tubular neighbourhood  $U$  of  $F$  in  $B$  oriented as above, followed by the canonical homomorphism  $H_{\text{fc}}^*(U) \rightarrow H^*(B)$ .

For the proof, see [2].

Residue formulae for characteristic classes in some particular cases have been found by other authors. See for instance [3], [6],[9] in the real case, [4] in the complex case, and [5] for  $\mathbb{Z}/(2)$  coefficients.

#### REFERENCES

- [1] N. ALAMO: Acciones de toros sobre fibrados principales y una fórmula de residuos para algunas clases características. Thesis. University of Málaga, (1987).
- [2] N. ALAMO and F. GOMEZ: Smooth toral actions on principal bundles and characteristic classes. Preprint. Forshungsinstitut für Mathematik. Zürich, (1987).
- [3] P. BAUM and J. CHEEGER: Infinitesimal isometries and Pontrjagin numbers. *Topology* **8** (1969), 173-193.
- [4] R. BOTT: Vector fields and characteristic numbers. *Michigan Math.J.* **14** (2), (1967).
- [5] J. DACCACH and A. WASSERMAN: Stiefel-Whitney classes and toral actions. *Topology and its applications* **21** (1985), 19-26.
- [6] F. GOMEZ: A residue formula for characteristic classes. *Topology* **21** (1), (1982), 101-124.
- [7] W. GREUB, S. HALPERIN and R. VANSTONE: *Connections, Curvature and Cohomology*, Vol. II. Academic Press (1972).
- [8] S. HALPERIN: Real cohomology and smooth transformations groups. Ph.D. Thesis. University of Cornell, (1970).
- [9] J.S. PASTERNAK: Foliations and compact Lie group actions. *Comm. Math. Helv.* **46** (1971), 467-477.
- [10] E. H. SPANIER: *Algebraic Topology*, McGraw-Hill, New York (1966).